

SIP REGISTRATION CUM MANDATE FORM

Application No.

[For investment through NACH/ECS/SI/Auto Debit]

Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

BROKER CODE/ARVN/REGISTRATION CODE#

ARN-18053

SUB-BROKER ARN CODE

SUB-BROKER CODE

(As allotted by ARN holder)

Employee Unique

Identification No. (EUNIN)

E-040403

#By mentioning RIA code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EUNIN box is left blank) - I/We hereby confirm that the EUNIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE OF SOLE / FIRST APPLICANT

SIGNATURE OF SECOND APPLICANT

SIGNATURE OF THIRD APPLICANT

TRANSACTION CHARGES FOR APPLICANTS THROUGH DISTRIBUTORS ONLY:

In case the subscription (lumpsum) amount Rs 10,000/- or more and your Distributor has opted to receive transactions charges, Rs 150/- (for first time mutual fund investor) or Rs 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid the distributor. Units will be issued against the balance amount invested.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Please tick (✓) New Registration Cancellation

Existing UMRN

The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrollment.

Sole/First Applicant's Name

Mr. Ms. M/s

FIRST

MIDDLE

LAST

Folio No.

/

Scheme Name: ICICI PRUDENTIAL

PLAN: Regular Direct

OPTION:

SUB-OPTION:

Dividend Frequency:

AEP Frequency:

Please refer instructions and Key Scheme Features for options, sub-options and other facilities available under each scheme of the Fund.

FIRST INSTALLMENT THROUGH CHEQUE/DD

First Cheque/DD No. _____ Dated _____

Drawn on Bank _____

Amount Rs. _____

Bank Branch _____

City _____

Each SIP Amount: Rs. _____

Rupees in words: _____

SIP TOP UP (Optional)
(Tick to avail this facility)

TOP UP Amount: Rs. _____

TOP UP Frequency: Half Yearly Yearly

* TOP UP amount has to be in multiples of Rs.500 only.

[Please refer to Terms & Conditions No. B(6)]

SIP TOP UP CAP: Amount*: Rs. _____

OR Month-Year*: M M Y Y Y Y

* TOP-UP CAP Amount: Please refer to T&C No. B[6-h(i)] # TOP-UP CAP Month-Year: Please refer to T&C No. B[6-h (i) & (ii)]

SIP Frequency: Monthly Quarterly

(Default SIP frequency is Monthly)

In case of Quarterly SIP, only Yearly frequency is available under SIP TOP UP.

SIP Date: 1st 7th 10th 15th 20th 25th

SIP Start Month/Year M M Y Y Y Y

SIP End 12 / 2018 12 / 2020

Month/ 12 / 2025 12 / 2099

Year Or other please fill in below

M M Y Y Y Y

(Investor has to choose only one option – either CAP Amount or CAP Month-Year)

DEMAT ACCOUNT DETAILS [Optional - Please refer Instruction No. B(8)]

NSDL
OR
 CDSL

Depository Participant (DP) ID (NSDL only)

Beneficiary Account Number (NSDL only)

(Please check if applicable)

Depository Participant (DP) ID (CDSL only)

The application form should mandatorily accompany the latest Client investor master/ Demat account statement.

YOUR CONFIRMATION/DECLARATION: I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year as described in the Instruction No. IV(d) of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)

Sole First Holder

X

2nd Holder

X

3rd Holder



UMRN

FOR OFFICE USE ONLY

Date

Tick (✓)
CREATE
MODIFY
CANCEL

Sponsor Bank Code

FOR OFFICE USE ONLY

Utility Code

FOR OFFICE USE ONLY

I/We hereby authorize

ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED

to debit (tick ✓)

SB/CA/CC/SB-NRE/SB-NRO/Other

Bank a/c number

with Bank

Name of customers bank

IFSC

or MICR

an amount of Rupees

Maximum Amount (Rupees in words)

₹

FREQUENCY Mthly Qtly H-Yrly Yrly As & when presented

DEBIT TYPE Fixed Amount

Maximum Amount

Folio No.

Mobile No.

Reference

APPLICATION NUMBER

Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD

From
To
Or Until Cancelled

X signature Primary Account holder

X signature of Account holder

Signature of Account holder

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

Declaration: I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participation in NACH/ECS/SI/Auto Debit. I/We hereby confirm adherence to the terms of EASY PAY facility offered by ICICI Prudential Asset Management Company Limited (the AMC) and as amended from time to time and of NACH/ECS/SI/Auto Debit. Authorisation to Bank: This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate or the bank where I have authorized the debit. This is to inform that I/we have registered for NACH/ECS/SI/Auto Debit facility and that my/our payment towards my/our investment in ICICI Prudential Mutual Fund shall be made from my/our above mentioned bank account with your Bank. I/We authorize the bank to debit my/our account for any charges towards mandate verification, registration, transactions, returns, etc, as applicable.



ACKNOWLEDGEMENT SLIP
(To be filled in by the investor)

Name of the Investor: _____

Folio No./
Application No.

Acknowledgement Stamp

SIP Amount Rs. _____

Scheme Name: _____

SIP Frequency: Monthly Quarterly

Option: _____

SIP TOP UP Amt. Rs. _____

TOP UP CAP: Amt:Rs. _____

OR Month-Year: M M Y Y Y Y